Serdica Journal of Computing

Bulgarian Academy of Sciences Institute of Mathematics and Informatics

ON THE REMAINDERS OBTAINED IN FINDING THE GREATEST COMMON DIVISOR OF TWO POLYNOMIALS

Alkiviadis G. Akritas, Gennadi I. Malaschonok^{*}, Panagiotis S. Vigklas

ABSTRACT. In 1917 Pell¹ and Gordon used sylvester2, Sylvester's little known and hardly ever used matrix of 1853, to compute² the coefficients of a Sturmian remainder — obtained in applying in $\mathbb{Q}[x]$, Sturm's algorithm on two polynomials $f, g \in \mathbb{Z}[x]$ of degree n — in terms of the determinants³ of the corresponding submatrices of sylvester2. Thus, they solved a problem that had eluded both J. J. Sylvester, in 1853, and E. B. Van Vleck, in 1900.⁴

In this paper we extend the work by Pell and Gordon and show how to compute² the coefficients of an Euclidean remainder — obtained in finding in $\mathbb{Q}[x]$, the greatest common divisor of $f, g \in \mathbb{Z}[x]$ of degree n — in terms of the determinants⁵ of the corresponding submatrices of sylvester1, Sylvester's widely known and used matrix of 1840.

ACM Computing Classification System (1998): F.2.1, G.1.5, I.1.2.

Key words: Polynomial Remainder Sequence (PRS), Sylvester's matrices, Euclidean PRS, Subresultant PRS, Sturm sequence, Modified Subresultant PRS.

^{*}Partially supported by the Russian Foundation for Basic Research, grant No. 16-07-00420a. ¹See the link http://en.wikipedia.org/wiki/Anna_Johnson_Pell_Wheeler for her biography.

 $^2\mathrm{Both}$ for *complete* and *incomplete* sequences, as defined in the sequel.

³Also known as *modified* subresultants.

⁴Using determinants Sylvester and Van Vleck were able to compute the coefficients of Sturmian remainders *only* for the case of *complete* sequences.

⁵Also known as (proper) subresultants.

124 Alkiviadis G. Akritas, Gennadi I. Malaschonok, Panagiotis S. Vigklas

1. Introduction. We begin by first describing Sylvester's two matrices. We believe both are important and deserve to be treated on their own. For that, consider the polynomials $f, g \in \mathbb{Z}[x]$ of degrees n, m, respectively, with n > m.

Sylvester's matrix sylvester1 was discovered in 1840 [8] and its dimensions are $(n + m) \times (n + m)$; it consists of two groups of rows, the first one with m rows and the second one with n. Concatenation of the two groups yields the matrix sylvester1.

In the first row of the first group (of m rows) are the coefficients of f(x) with m-1 trailing zeros. The second row in this group differs from the first one in that its elements have been rotated to the right by one. A total of m-1 rotations are needed to construct the first group of rows.

In the first row of the second group (of n rows) are the coefficients of g(x) with n-1 trailing zeros. The second row in this group differs from the first one in that its elements have been rotated to the right by one. A total of n-1 rotations are needed to construct the second group of rows.

Sylvester's matrix sylvester2 was discovered in 1853, its dimensions are $2n \times 2n$ and it consists of n pairs of rows [9]. In the first row of the first pair are the coefficients of f(x) whereas in the second row of the first pair are the coefficients of g(x); n - m zeros have been prepended to g(x) to also make it of degree n. Both rows in the first pair have 2n - (n + 1) trailing zeros and both rows of the last pair have 2n - (n + 1) trailing zeros and both rows of the first one in that the elements of both rows have been rotated to the right by one. A total of 2n - (n + 1) rotations are needed to construct sylvester2.

In the freely available computer algebra system Xcas/Giac Sylvester's matrix sylvester1 is given by the built-in function sylvester, whereas Sylvester's matrix sylvester2 is given by our own function sylvester2. In the (also freely available) computer algebra system Sympy we have written the function sylvester⁶ which returns either matrix depending on the last optional argument; by default matrix sylvester1 is returned.

Example 1. Take $f(x) = ax^3 + bx^2 + cx + d$ with a > 0 and $g(x) = 3ax^2 + 2bx + c$. Then, $S_1(f, g)$, their sylvester1 matrix, is

⁶ All Sympy functions mentioned in this paper can be downloaded from the link http://inf-server.inf.uth.gr/~akritas/publications/subresultants.py.

$$\left(\begin{array}{cccccc} a & b & c & d & 0\\ 0 & a & b & c & d\\ 3a & 2b & c & 0 & 0\\ 0 & 3a & 2b & c & 0\\ 0 & 0 & 3a & 2b & c \end{array}\right),$$

whereas $S_2(f,g)$, their sylvester2 matrix, is

10	ı	b	c	d	0	0 \
)	3a	2b	c	0	0
()	a	b	c	d	0
)	0	3a	2b	c	0
)	0	a	b	c	d
$\int d$)	0	0	3a	2b	c /

For the sequences of polynomial remainders examined in this paper the following definitions are needed:

Definition 1. The sign sequence of a polynomial remainder sequence (prs) is the sequence of signs of the leading coefficients of its polynomials.

Definition 2. A polynomial remainder sequence (prs) is called complete if the degree difference between any two consecutive polynomials is 1; otherwise, it called incomplete.

Given $f(x), g(x) \in \mathbb{Z}[x]$ of degrees deg(f) = n and deg(g) = m with $n \ge m$ their (proper) subresultant prs is a sequence of polynomials similar to the Euclidean prs, the sequence obtained by applying in $\mathbb{Q}[x]^7$ Euclid's polynomial gcd algorithm on f(x), g(x).⁸ The two sequences differ in that the coefficients of each polynomial in the subresultant prs are the determinants, or subresultants, of specially chosen sub-matrices of sylvester1 [4]. For complete prs's the two sign sequences are identical and the coefficients of the Euclidean prs are easily computed with the help of the corresponding subresultants [1].

The determinant of sylvester1 itself is called the *resultant* of f(x), g(x) and serves as a criterion of whether the two polynomials have common roots or not.

⁷Or in $\mathbb{Z}[x]$, if we use our Sympy function euclid_PG(p, q, x, method = 0); see also footnote 6.

⁸A formal definition of a subresultant prs can be found in almost all references (see for example the one by Kerber, [6]) and hence it is omitted in this paper.

126 Alkiviadis G. Akritas, Gennadi I. Malaschonok, Panagiotis S. Vigklas

For the same polynomials $f(x), g(x) \in \mathbb{Z}[x]$ mentioned above, their *mod*ified subresultant prs [4] is a sequence of polynomials similar to the Sturmian prs, the sequence obtained by applying in $\mathbb{Q}[x]^9$ Sturm's algorithm on f(x), g(x). The two sequences differ in that the coefficients of each polynomial in the modified subresultant prs are the determinants, or *modified subresultants*, of specially chosen sub-matrices of sylvester2 [4]. For complete prs's the two sign sequences are identical and the coefficients of the Sturmian prs are easily computed with the help of the corresponding modified subresultants [1].

The determinant of sylvester2 itself is called the *modified resultant* of f(x), g(x) and it also can serve as a criterion of whether the two polynomials have common roots or not.

As Sylvester pointed out, the coefficients of the polynomial remainders obtained as (modified) subresultants are the *smallest possible* without introducing rationals and without computing (integer) greatest common divisors.

The determinants of the two matrices sylvester1 and sylvester2 — as well as the corresponding subresultants and modified subresultants — generally differ in sign.¹⁰ Indeed, for the polynomials of Example 1 the determinant of $S_1(f,g)$ is

$$27 \cdot a^3 \cdot d^2 - 18 \cdot a^2 \cdot b \cdot c \cdot d + 4 \cdot a^2 \cdot c^3 + 4 \cdot a \cdot b^3 \cdot d - a \cdot b^2 \cdot c^2,$$

whereas the determinant of $S_2(f,g)$ is

$$\frac{\det(S_2(f,g))}{a} = -\det(S_1(f,g)).$$

1.1. Incomplete prs's. If an *incomplete* prs is obtained from f(x), $g(x) \in \mathbb{Z}[x]$, then the following problems are encountered:

- (i) the polynomials in the subresultant prs generally differ in *sign* from those of the Euclidean prs, and — unlike the case of complete prs's — it is not at all obvious how to compute the coefficients of the polynomials in the latter sequence with the help of the corresponding subresultants;
- (ii) the polynomials in the modified subresultant prs generally differ in *sign* from those of the Sturmian prs, and — unlike the case of complete prs's — it is

⁹Or in $\mathbb{Z}[x]$, if we use our Sympy function sturm_PG(p, q, x); see also footnote 6.

¹⁰That is, the absolute value of any modified subresultant (obtained from sylvester2) divided by the (positive) leading coefficient of f raised to the power n-m is equal to the absolute value of the corresponding subresultant (obtained from sylvester1).

not at all obvious how to compute the coefficients of the polynomials in the latter sequence with the help of the corresponding modified subresultants.

These problems are best illustrated with the following example:

Example 2. Consider the storied polynomials $f = x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5$ and $g = 3x^6 + 5x^4 - 4x^2 - 9x + 21$ whose incomplete prs has degrees 8, 6, 4, 2, 1, 0. These polynomials — and the computer algebra system Sympy — will be used throughout this paper.

(i) Using the built-in function subresultants we obtain the polynomial remainder sequence (1) in $\mathbb{Z}[x]$, which is the (proper) subresultant prs,

(1)
$$x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5, 3x^6 + 5x^4 - 4x^2 - 9x + 21,$$

 $15x^4 - 3x^2 + 9, 65x^2 + 125x - 245, 9326x - 12300, 260708.$

The coefficients of the polynomials in the second row of (1) are all determinants of submatrices of sylvester1.

On the other hand, using the built-in function **rem**, we obtain the polynomial remainder sequence (2) in $\mathbb{Q}[x]$, which is the Euclidean prs,

(2)
$$x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5, 3x^6 + 5x^4 - 4x^2 - 9x + 21,$$

 $-5x^4/9 + x^2/9 - 1/3, -117x^2/25 - 9x + 441/25,$
 $233150x/19773 - 102500/6591, -1288744821/543589225.$

How can we compute the coefficients of the polynomials in the Euclidean prs (2) from the corresponding subresultants of the subresultant prs (1) and vice-versa?

(ii) Using our own function modified_subresultants_PG we obtain the polynomial remainder sequence (3) in $\mathbb{Z}[x]$, which is the modified subresultant prs,

(3)
$$x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5, 3x^6 + 5x^4 - 4x^2 - 9x + 21,$$

 $-15x^4 + 3x^2 - 9, 65x^2 + 125x - 245, -9326x + 12300, 260708.$

The coefficients of the polynomials in the second row of (3) are all determinants of submatrices of sylvester2.

On the other hand, using **-rem**, we obtain the polynomial remainder sequence (4) in $\mathbb{Q}[x]$, which is the Sturmian prs,

(4)
$$x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5, 3x^6 + 5x^4 - 4x^2 - 9x + 21,$$

 $5x^4/9 - x^2/9 + 1/3, 117x^2/25 + 9x - 441/25,$
 $233150x/19773 - 102500/6591, -1288744821/543589225.$

How can we compute the coefficients of the polynomials in the Sturmian prs (4) from the corresponding modified subresultants of the modified subresultant prs (3) and vice-versa?

These problems were extremely difficult to tackle and eluded both Sylvester (1853) and Van Vleck (1900) [11]. As Sylvester put it ([9], p. 419) "... the same explicit method might be applied to show, that if the first divisor were *e* degrees instead of being only one degree lower than the first divident, α^{e+1} would be contained in every term of the second residue;¹¹ the difficulty, however, of the proof by this method augments with the value of *e*" [1]. For his part, Van Vleck considered *only* complete Sturm sequences, and stated ([11], p. 4) "... the degree of each succeeding polynomial, respectively remainder is, *in general*,¹² one less than that of the preceding."

It was in 1917 that Pell and Gordon [7] "modified" Van Vleck's theorem and, hence, solved the problem of computing the coefficients of a Sturmian remainder via modified subresultants. Their paper went unnoticed for about 100 years, until one of us (P.S. Vigklas) discovered it in the journal archives.

1.2. Outline of the Paper. In this paper we present a solution to the problem of computing the coefficients of an Euclidean remainder via subresultants. A graphical representation of our solution is given in Figure 1 — follow the double arrows.

In Section 2 we present the relationship that exists between Sturmian remainders and modified subresultant prs's — branch \mathcal{PG} in Figure 1. This relationship is described in the remarkable theorem by Pell and Gordon, which is stated here for completion along with an example on how to compute the coefficients of the polynomials in the Sturmian prs from the corresponding modified subresultants of the modified subresultant prs.

In Section 3 we present the relationship that exists between Euclidean remainders and subresultant prs's — branches \mathcal{AMV} , \mathcal{PG} and \mathcal{SAM} in Figure

 $^{^{11}\}alpha$ is the leading coefficient of the divisor.

 $^{^{12} \}mathrm{Our}$ emphasis.

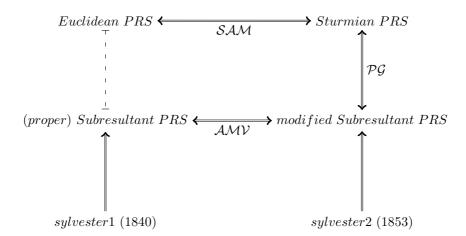


Fig. 1. The indirect way of computing the (Euclidean) remainders — obtained in finding the greatest common divisor of two polynomials in $\mathbb{Q}[x]$ — from the (proper) subresultant prs. The latter is computed from sylvester1, Sylvester's matrix of 1840

1. Our main result, Theorem 4 is preceded by two auxiliary theorems: the first one establishes a relation between the signs of a subresultant prs and those of the corresponding modified subresultant prs — relation \mathcal{AMV} in Figure 1 — whereas the second theorem establishes a relation between the signs of a Euclidean prs and those of the corresponding Sturmian prs — relation \mathcal{SAM} in Figure 1.

Finally, in Section 4 we present our conclusions.

2. Sturmian remainders and their relationship to modified subresultant prs's. The Pell-Gordon Theorem of 1917, [7], helps us compute the coefficients of a Sturmian remainder, of a complete or incomplete sequence, with the help of modified subresultants,¹³ i. e. determinants of submatrices of Sylvester's matrix sylvester2. The theorem is stated below but additional details can be found elsewhere [2], [3], [4].

Theorem 1 (Pell-Gordon, 1917). Let

$$f = a_0 x^n + a_1 x^{n-1} + \dots + a_n$$

and

$$g = b_0 x^n + b_1 x^{n-1} + \dots + b_n$$

¹³That is, *without* polynomial divisions.

be two polynomials of the nth degree. Modify the process of finding the highest common factor of f and g by taking at each stage the negative of the remainder. Let the *i*th modified remainder be

$$R^{(i)} = r_0^{(i)} x^{m_i} + r_1^{(i)} x^{m_i - 1} + \dots + r_{m_i}^{(i)}$$

where $(m_i + 1)$ is the degree of the preceeding remainder, and where the first $(p_i - 1)$ coefficients of $R^{(i)}$ are zero, and the p_i th coefficient $\varrho_i = r_{p_i-1}^{(i)}$ is different from zero. Then for $k = 0, 1, \ldots, m_i$ the coefficients $r_k^{(i)}$ are given by¹⁴

(5)
$$r_k^{(i)} = \frac{(-1)^{u_{i-1}} (-1)^{u_{i-2}} \cdots (-1)^{u_1} (-1)^{v_{i-1}}}{\varrho_{i-1}^{p_{i-1}+1} \varrho_{i-2}^{p_{i-2}+p_{i-1}} \cdots \varrho_1^{p_1+p_2} \varrho_0^{p_1}} \cdot \operatorname{Det}(i,k) ,$$

where

$$u_{i-1} = 1 + 2 + \dots + p_{i-1}, \quad v_{i-1} = p_1 + p_2 + \dots + p_{i-1}$$

and

$$\operatorname{Det}(i,k) = \begin{vmatrix} a_0 & a_1 & a_2 & \cdots & \cdot & \cdots & a_{2v_{i-1}} & a_{2v_{i-1}+1+k} \\ b_0 & b_1 & b_2 & \cdots & \cdot & \cdots & b_{2v_{i-1}} & b_{2v_{i-1}+1+k} \\ 0 & a_0 & a_1 & \cdots & \cdot & \cdots & a_{2v_{i-1}-1} & a_{2v_{i-1}+k} \\ 0 & b_0 & b_1 & \cdots & \cdot & \cdots & b_{2v_{i-1}-1} & b_{2v_{i-1}+k} \\ \cdot & \cdot & \cdot & \cdots & \cdot & \cdots & \cdot & \cdots \\ 0 & 0 & 0 & \cdots & a_0 & a_1 & \cdots & a_{v_{i-1}} & a_{v_{i-1}+1+k} \\ 0 & 0 & 0 & \cdots & b_0 & b_1 & \cdots & b_{v_{i-1}} & b_{v_{i-1}+1+k} \end{vmatrix}$$

Proof. See [7]. \Box

As indicated elsewhere [4], we use a modification of formula (5) to compute the coefficients of a polynomial in the Sturm sequence of two polynomials. In our general case $p_0 = \deg(f) - \deg(g) \ge 0$, since $\deg(g) \le \deg(f)$ and, hence, the modified formula is shown below with the changes appearing in bold:

(6)
$$r_k^{(i)} = \frac{(-1)^{u_{i-1}} (-1)^{u_{i-2}} \cdots (-1)^{u_1} (-1)^{u_0} (-1)^{v_{i-1}}}{\varrho_{i-1}^{p_{i-1}+p_i - \operatorname{degDiffer}} \varrho_{i-2}^{p_{i-2}+p_{i-1}} \cdots \varrho_1^{p_1+p_2} \varrho_0^{p_0+p_1}} \cdot \frac{\operatorname{Det}(i,k)}{\varrho_{-1}^{p_0}},$$

where $\rho_{-1} = a_0$, the leading coefficient of f and degDiffer is the difference between the expected degree m_i and the actual degree of the remainder. Also, note that $p_i - \text{degDiffer} = 1$ for all i.

¹⁴It is understood in (5) that $\rho_0 = b_0$, $p_0 = 0$, and that $a_i = b_i = 0$ for i > n.

On the Remainders Obtained in Finding the Greatest Common Divisor ... 131

It should be noted that in our (general) case the division $\frac{\text{Det}(i,k)}{\varrho_{-1}^{p_0}}$ is exact. Moreover, if the leading coefficient of f is negative we work with the polynomial negated and at the end we reverse the signs of all polys in the sequence.

Note that the first fraction in formula (6) depends only on i and is independent of k. Denote by $PG^{(i)}$ that fraction and call it the $PG^{(i)}$ -factor; that is, we have

(7)
$$PG^{(i)} = \frac{(-1)^{u_{i-1}} (-1)^{u_{i-2}} \cdots (-1)^{u_1} (-1)^{u_0} (-1)^{v_{i-1}}}{\varrho_{i-1}^{p_{i-1}+p_i - \operatorname{degDiffer}} \varrho_{i-2}^{p_{i-2}+p_{i-1}} \cdots \varrho_1^{p_1+p_2} \varrho_0^{p_0+p_1}},$$

in which case, the coefficients of the Sturmian remainders are exactly

(8)
$$r_k^{(i)} = PG^{(i)} \times \frac{\text{Det}(i,k)}{\varrho_{-1}^{p_0}}.$$

Example 3. Consider again the polynomials $f = x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5$ and $g = 3x^6 + 5x^4 - 4x^2 - 9x + 21$, seen in Example 2. The modified subresultant prs of f, g is

(9)
$$x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5, 3x^6 + 5x^4 - 4x^2 - 9x + 21,$$

 $-15x^4 + 3x^2 - 9, 65x^2 + 125x - 245, -9326x + 12300, 260708,$

where the coefficients of the last 4 polynomials in the second line of (9) are all determinants (the modified subresultants Det(i, k)) of appropriate submatrices of sylvester2.

To compute the coefficients of the Sturmian polynomials we have to compute the $PG^{(i)}$ -factor, i = 1, 2, 3, 4, for each remainder. Using (7) we find

(10)
$$PG^{(i)} = \left\{ -\frac{1}{27}, \frac{9}{125}, -\frac{25}{19773}, -\frac{19773}{2174356900} \right\}, \quad i = 1, 2, 3, 4,$$

and from (8), we obtain the Sturm sequence of f, g in $\mathbb{Q}[x]$,

(11)
$$x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5, 3x^6 + 5x^4 - 4x^2 - 9x + 21,$$

 $5x^4/9 - x^2/9 + 1/3, 117x^2/25 + 9x - 441/25,$
 $233150x/19773 - 102500/6591, -1288744821/543589225.$

Note that the Sturmian prs (4), which was computed with polynomial divisions, is identical to the Sturmian prs (11), which was computed via modified subresultants — since, for example, the coefficient $\frac{5}{9}$ in (11) is the product $\left(-\frac{1}{27}\right) \times (-15)$, etc.

Using (6) we have developed our own function sturm_PG⁶, which computes the Sturmian prs of f, g in $\mathbb{Z}[x]$:

(12)
$$x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5, 3x^6 + 5x^4 - 4x^2 - 9x + 21,$$

 $15x^4 - 3x^2 + 9, 65x^2 + 125x - 245, 9326x - 12300, -260708.$

Note that the sign sequences in (11) and (12) are identical.

3. Euclidean remainders and their relationship to subresultant prs's. In this section we prove that once a subresultant prs has been computed then the polynomial remainders in the Euclidean prs are *uniquely* determined in sign and magnitude. The converse is also true.

As indicated in Figure 1, the proof of our result is indirect and uses the Pell-Gordon theorem (Theorem 1). Additionally, we need the following two auxiliary theorems.

Theorem 2. Let $f,g \in \mathbb{Z}[x]$ of degrees $n = \deg(f) \ge \deg(g) = m$ and let f_0 be the leading coefficient of f. Consider the *i*th **modified** subresultant polynomial¹⁵

$$S_2^{(i)} = s_0^{(i)} x^{m_i} + s_1^{(i)} x^{m_i - 1} + \dots + s_{m_i}^{(i)},$$

where (m_i+1) is the degree of the preceding polynomial, and where the first (p_i-1) coefficients of $S_2^{(i)}$ are zero, and the p_i th coefficient $\varrho_i = r_{p_i-1}^{(i)}$ is different from zero. If

$$\tilde{S}_1^{(i)} = \tilde{s}_0^{(i)} x^{m_i} + \tilde{s}_1^{(i)} x^{m_i - 1} + \dots + \tilde{s}_{m_i}^{(i)},$$

is the corresponding (proper) subresultant polynomial¹⁶ and $j_i = n - m_i$, then

(13)
$$f_0^{n-m} \tilde{\mathcal{S}}_1^{(i)} = (-1)^{\frac{j_i(j_i-1)}{2}} \mathcal{S}_2^{(i)}$$

¹⁵That is, its coefficients are determinants (*modified* subresultants) of submatrices obtained from sylvester2.

¹⁶That is, its coefficients are determinants (proper subresultants) of submatrices obtained from sylvester1.

Proof. An analogous result has been proven in [5] (Theorem 2.1) regarding $\tilde{\mathcal{S}}_1^{(i)}$ and the subresultant polynomial obtained from Bezout's matrix. Our theorem follows immediately from the equivalence of Bezout's matrix to Sylvester's matrix sylvester2. \Box

The factor $(-1)^{\frac{j_i(j_i-1)}{2}}$ in (13) helps us get the signs right along the \mathcal{AMV} branch of Figure 1 and, hence, we call it the $AMV^{(i)}$ -factor.

Example 4. Consider again the polynomials $f = x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5$ and $g = 3x^6 + 5x^4 - 4x^2 - 9x + 21$, seen in Examples 2 and 3. Note that $f_0 = 1$. To compute the $AMV^{(i)}$ -factors we first compute the values of the $j_i, i = 1, 2, 3, 4$, for each remainder. In our example we have

 $j_1 = n - m_1 = 8 - 5 = 3,$ $j_2 = n - m_2 = 8 - 3 = 5,$ $j_3 = n - m_3 = 8 - 1 = 7,$ $j_4 = n - m_4 = 8 - 0 = 8.$

Therefore, the $AMV^{(i)}$ -factors are $(-1)^{\frac{j_i(j_i-1)}{2}}$, for i = 1, 2, 3, 4 or

(14) $AMV^{(i)} = \{-, +, -, +\}, \quad i = 1, 2, 3, 4.$

Indeed, looking at the second row of (1) and (3), we see that the first and third polynomial remainders differ in sign.

The second auxiliary theorem is an almost unknown statement with serious ramifications as we shall see. It was proven by Akritas and Malaschonok in April, 2015, during the conference on Polynomial Computer Algebra (PCA-2015) in St. Petersburg, Russia, but both felt sure that it must have been noticed earlier. Indeed, Vigklas found out that Sylvester mentioned this as a "Remark" in ([10], p. 453).¹⁷

Theorem 3. Let $f,g \in \mathbb{Z}[x]$ of degrees $n = \deg(f) \ge \deg(g) = m$. Modify the process of finding the greatest common divisor of f and g by taking

¹⁷We quote Sylvester: "The law evidently being that the quotients change sign alternately, i. e. in the 2nd, 4th, 6th, etc places, and remain unaltered in the 1st, 3rd, 5th, etc places; whereas the residues or excesses change their signs in the 1st and 2nd, 5th and 6th, 9th and 10th, etc and remain unaltered in the 3rd and 4th, 7th and 8th, 11th and 12th etc places."

at each stage the negative of the remainder and let the *i*th Sturmian remainder be $R^{(i)}$. If $\tilde{R}^{(i)}$ is the corresponding Euclidean remainder obtained in finding the greatest common divisor of f and g, then it holds

(15)
$$R^{(i)} = (-1)^{\lfloor \frac{i-1}{2} \rfloor + 1} \tilde{R}^{(i)}.$$

Proof. The proof of this theorem is quite easy and is left as an exercise for the reader. *Hint*: Use the fact that for the respective quotients we have $q^{(i)} = (-1)^{i+1} \tilde{q}^{(i)}$. \Box

Theorem 3 tell us that once a Sturmian prs has been determined then the signs (and values) of the corresponding Euclidean prs are *uniquely* defined. The factor $(-1)^{\lfloor \frac{i-1}{2} \rfloor + 1}$ helps us get the signs right along the SAM branch of Figure 1 and, hence, we call it the $SAM^{(i)}$ -factor.

Example 5. Consider again the polynomials $f = x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5$ and $g = 3x^6 + 5x^4 - 4x^2 - 9x + 21$, seen in Examples 2, 3 and 4. The $SAM^{(i)}$ -factor, i = 1, 2, 3, 4, for each remainder is $(-1)^{\lfloor \frac{i-1}{2} \rfloor + 1}$, or

(16)
$$SAM^{(i)} = \{-, -, +, +\}, \quad i = 1, 2, 3, 4.$$

Indeed, comparing the polynomials in the second row of (2) and (4), we see that they differ in sign, whereas those in the third row of (2) and (4) are identical.

Our main result follows:

Theorem 4. Let

$$f = a_0 x^n + a_1 x^{n-1} + \dots + a_n$$

and

$$g = b_0 x^n + b_1 x^{n-1} + \dots + b_n$$

be two polynomials of degree n. Modify the process of finding the greatest common divisor of f and g by taking at each stage the negative of the remainder.¹⁸ Let the ith Sturmian remainder be

$$R^{(i)} = r_0^{(i)} x^{m_i} + r_1^{(i)} x^{m_i - 1} + \dots + r_{m_i}^{(i)}$$

¹⁸That is, apply Sturm's algorithm on f, g.

where (m_i+1) is the degree of the preceding remainder, and where the first (p_i-1) coefficients of $R^{(i)}$ are zero, and the p_i th coefficient $\varrho_i = r_{p_i-1}^{(i)}$ is different from zero. Then for $k = 0, 1, \ldots, m_i$ the coefficients $\tilde{r}_k^{(i)}$ of the Euclidean remainder¹⁹

$$\tilde{R}^{(i)} = \tilde{r}_0^{(i)} x^{m_i} + \tilde{r}_1^{(i)} x^{m_i - 1} + \dots + \tilde{r}_{m_i}^{(i)},$$

obtained in finding the greatest common divisor of f and g, are given by^{20} (17) $\tilde{r}_{k}^{(i)} = (-1)^{\lfloor \frac{i-1}{2} \rfloor + 1} \cdot \frac{(-1)^{u_{i-1}} (-1)^{u_{i-2}} \cdots (-1)^{u_{1}} (-1)^{v_{i-1}}}{\varrho_{i-1}^{p_{i-1}+1} \varrho_{i-2}^{p_{i-2}+p_{i-1}} \cdots \varrho_{1}^{p_{1}+p_{2}} \varrho_{0}^{p_{1}}} \cdot (-1)^{\frac{j_{i}(j_{i}-1)}{2}} \cdot \operatorname{Det}(i,k),$

where

$$u_{i-1} = 1 + 2 + \dots + p_{i-1}, \quad v_{i-1} = p_1 + p_2 + \dots + p_{i-1}, \quad j_i = n - m_i,$$

and

$$\operatorname{Det}(i,k) = \begin{vmatrix} a_0 & a_1 & a_2 & \cdots & \cdot & \cdots & a_{2v_{i-1}} & a_{2v_{i-1}+1+k} \\ 0 & a_0 & a_1 & \cdots & \cdot & \cdots & a_{2v_{i-1}-1} & a_{2v_{i-1}+k} \\ \vdots & & \ddots & & \ddots & & \vdots \\ 0 & 0 & 0 & \cdots & a_0 & a_1 & \cdots & a_{v_{i-1}} & a_{v_{i-1}+1+k} \\ b_0 & b_1 & b_2 & \cdots & \cdot & \cdots & b_{2v_{i-1}} & b_{2v_{i-1}+1+k} \\ \vdots & & \ddots & & \ddots & & b_{2v_{i-1}-1} & b_{2v_{i-1}+k} \\ \vdots & & \ddots & & \ddots & & \vdots \\ 0 & 0 & 0 & \cdots & b_0 & b_1 & \cdots & b_{v_{i-1}} & b_{v_{i-1}+1+k} \end{vmatrix}$$

Proof. The proof follows from the previous three theorems. \Box

As in Section 2, we use a modification of formula (17) to compute the coefficients of an Euclidean sequence. In that case $p_0 = \deg(f) - \deg(g) \ge 0$, since $deg(g) \le deg(f)$ and, provided the dimensions of sylvester1 are $2 \cdot deg(f) \times 2 \cdot deg(f)$, the modified formula is shown below with the changes appearing in

¹⁹That is, $\tilde{R}^{(i)}$ is a member of the Euclidean sequence obtained in finding the greatest common divisor of f, g.

²⁰It is understood in (17) that $\rho_0 = b_0$, $p_0 = 0$, and that $a_i = b_i = 0$ for i > n.

136 Alkiviadis G. Akritas, Gennadi I. Malaschonok, Panagiotis S. Vigklas

bold: 21

(18)
$$\tilde{r}_{k}^{(i)} = (-1)^{\lfloor \frac{i-1}{2} \rfloor + 1} \cdot \frac{(-1)^{u_{i-1}} (-1)^{u_{i-2}} \cdots (-1)^{u_{1}} (-1)^{u_{0}} (-1)^{v_{i-1}}}{\varrho_{i-1}^{p_{i-1} + p_{i} - \operatorname{degDiffer}} \varrho_{i-2}^{p_{i-2} + p_{i-1}} \cdots \varrho_{1}^{p_{1} + p_{2}} \varrho_{0}^{p_{0} + p_{1}}} \times (-1)^{\frac{j_{i}(j_{i}-1)}{2}} \cdot \frac{\operatorname{Det}(i,k)}{\varrho_{-1}^{p_{0}}},$$

where $\rho_{-1} = a_0$, degDiffer is the difference between the expected degree m_i and the actual degree of the remainder and Det (i, k) is an appropriate submatrix of sylvester1. Also, note that $p_i - \text{degDiffer} = 1$ for all i.

If the leading coefficient of f is negative we work with the polynomial negated and at the end we reverse the signs of all polynomials in the sequence.

Example 6. Consider again the polynomials $f = x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5$ and $g = 3x^6 + 5x^4 - 4x^2 - 9x + 21$, seen in Examples 2, 3, 4 and 5. To compute the Euclidean prs (2) of f, g from the subresultant prs (1) of f, g, we do the following:

- Using the $AMV^{(i)}$ -factors (14) we convert the subresultant prs (1) to the modified subresultant prs (3) of f, g.
- Subsequently, using (8), the $PG^{(i)}$ -factors (10) and the determinants obtained from the modified subresultant prs (3) we compute the Sturmian prs (4) of f, g.
- Finally, using the $SAM^{(i)}$ -factors (16) we convert the Sturmian prs (4) to the Euclidean prs (2) of f, g.

We slightly modified the function sturm_PG and developed our own function euclid_PG⁶, which computes the Euclidean prs of f, g in $\mathbb{Z}[\mathbf{x}]$,

(19)
$$x^8 + x^6 - 3x^4 - 3x^3 + 8x^2 + 2x - 5, 3x^6 + 5x^4 - 4x^2 - 9x + 21,$$

 $-15x^4 + 3x^2 - 9, -65x^2 - 125x + 245, 9326x - 12300, -260708.$

Note that the sign sequences in (2) and (19) are identical.

4. Conclusions. Consider the polynomials $f, g \in \mathbb{Z}[x]$. Our main result, Theorem 4, relates the Euclidean prs, obtained in finding in $\mathbb{Q}[x]$ the

²¹If the dimensions of sylvester1 are $(deg(f) + deg(g)) \times (deg(f) + deg(g))$, then the denominator $\varrho_{-1}^{p_0}$ is omitted in (18).

greatest common divisor of f, g, with the subresultant prs of f, g, as shown in Figure 1.

Together, the four theorems in our paper imply that the polynomial remainder sequence $R^{(i)}$, obtained in $\mathbb{Q}[\mathbb{x}]$ by applying Sturm's algorithm on f, gand the polynomial remainder sequence $\tilde{R}^{(i)}$, obtained in $\mathbb{Q}[\mathbb{x}]$ by applying Euclid's algorithm on f, g, are both uniquely defined — through equations (6), (13), (15) and (18) — either by the modified subresultant prs or by the subresultant prs; and vice-versa.

Once the polynomial remainder sequences $R^{(i)}$ and $\tilde{R}^{(i)}$ have been uniquely defined in $\mathbb{Q}[\mathbb{x}]$ then — as shown elsewhere [4] — using the same equations (6) and (18), they can be *uniquely* defined in $\mathbb{Z}[\mathbb{x}]$ as well. The signs of the coefficients in both sequences in $\mathbb{Z}[\mathbb{x}]$ are the same as those of the corresponding coefficients in $\mathbb{Q}[\mathbb{x}]$.²²

Note added in proof. A new version of sympy (1.0) came out in March 2016. In this new version the module sumpy.polys.subresultants_qq_zz.py contains the functions referred to in this paper.

$\mathbf{R} \, \mathbf{E} \, \mathbf{F} \, \mathbf{E} \, \mathbf{R} \, \mathbf{E} \, \mathbf{N} \, \mathbf{C} \, \mathbf{E} \, \mathbf{S}$

- AKRITAS A. G. A Simple Proof of the Validity of the Reduced PRS Algorithm. *Computing*, 38 (1987), 369–372.
- [2] AKRITAS A. G. Three New Methods for Computing Subresultant Polynomial Remainder Sequences (PRS's). Serdica Journal of Computing, 9 (2015), No 1, 1–26.
- [3] AKRITAS A. G., G. I. MALASCHONOK, P. S. VIGKLAS. On a Theorem by Van Vleck Regarding Sturm Sequences. *Serdica Journal of Computing*, 7 (2013), No 4, 101–134.
- [4] AKRITAS A. G., G. I. MALASCHONOK, P. S. VIGKLAS. Sturm Sequences and Modified Subresultant Polynomial Remainder Sequences. *Serdica Jour*nal of Computing, 8 (2014), No 1, 29–46.

²²In this respect, note the caveat in http://planetmath.org/sturmstheorem:"Be aware that some computer algebra systems may normalize remainders from the Euclidean Algorithm which messes up the sign."

- 138 Alkiviadis G. Akritas, Gennadi I. Malaschonok, Panagiotis S. Vigklas
 - [5] DIAZ-TOCA G. M., L. GONZALEZ-VEGA. Various New Expressions for Subresultants and Their Applications. Applicable Algebra in Engineering, Communication and Computing, 15 (2004), 233–266.
 - [6] KERBER M. Division-Free computation of subresultants using Bezout matrices. Tech. Report MPI-I-2006-1-006, Saarbrucken, 2006.
 - [7] PELL A. J., R. L. GORDON. The Modified Remainders Obtained in Finding the Highest Common Factor of Two Polynomials. *Annals of Mathematics*, Second Series, 18 (June, 1917), No 4, 188–193.
 - [8] SYLVESTER J. J. A method of determining by mere inspection the derivatives from two equations of any degree. *Philosophical Magazine*, 16 (1840), 132–135.
 - [9] SYLVESTER J. J. On the Theory of Syzygetic Relations of Two Rational Integral Functions, Comprising an Application to the Theory of Sturm's Functions, and that of the Greatest Algebraical Common Measure. *Philo*sophical Transactions, 143 (1853), 407–548.
- [10] SYLVESTER J. J. On a remarkable modification of Sturm's theorem. Philosophical Magazine and Journal of Science, V, Fourth Series, (January-June, 1853), 446-456. http://books.google.gr/books?hl=el& id=30v22-gFMnEC&q=sylvester#v=onepage&q&f=false
- [11] VAN VLECK E. B. On the Determination of a Series of Sturm's Functions by the Calculation of a Single Determinant. Annals of Mathematics, Second Series, 1 (1899–1900), No 1/4, 1–13.

Alkiviadis G. Akritas Department of Electrical and Computer Engineering University of Thessaly GR-38221, Volos, Greece e-mail: akritas@uth.gr

Panagiotis S. Vigklas Department of Electrical and Computer Engineering University of Thessaly GR-38221, Volos, Greece e-mail: pviglas@uth.gr Gennadi I. Malaschonok Laboratory for Algebraic Computations Tambov State University Internatsionalnaya, 33 RU-392000 Tambov, Russia e-mail: malaschonok@gmail.com

> Received May 18, 2015 Final Accepted November 27, 2015